

When my turn came, I didn't know what to do. I shuddered to think about the diseases lurking within that bottle. I made another quick decision. In the semidarkness I faked it, letting only my thumb and forefinger touch my lips and nothing enter my mouth.

When we returned to Dupont Circle, the men finished their new bottle of Thunderbird. I couldn't fake it in the light, so I passed, pointing at my stomach to indicate that I was having digestive problems.

Suddenly one of the men jumped up, smashed the emptied bottle against the sidewalk, and thrust the jagged neck outward in a menacing gesture. He glared straight ahead at another bench, where he had spotted someone with whom he had some sort of unfinished business. As the other men told him to cool it, I moved slightly to one side of the group—ready to flee, just in case.

Levels of Sociological Analysis

In this sociological adventure, I almost got myself in over my head. Fortunately, it turned out all right. The man's "enemy" didn't look our way, the broken bottle was set down next to the bench "just in case he needed it," and my introduction to a life that up until then I had only read about continued until dawn.

Sociologists Elliot Liebow (1967/1999), Mitchell Duneier (1999), and Elijah Anderson (1978, 1990, 1999, 2001) have written fascinating accounts about men like these. Although streetcorner men may appear to be disorganized—simply coming and going as they please and doing whatever feels good at the moment—Liebow and Anderson analyzed how, like us, these men are influenced by the norms and beliefs of our society. This will become more apparent as we examine the two levels of analysis that sociologists use.

Macrosociology and Microsociology

The first level, **macrosociology**, places the focus on broad features of society. Sociologists who use this approach analyze such things as social class and how groups are related to

Sociologists use both macro and micro levels of analysis to study social life. Those who use macrosociology to analyze the homeless—or any human behavior—focus on broad aspects of society, such as the economy and social classes. Sociologists who use the microsociological approach analyze how people interact with one another. This photo illustrates social structure: The disparities between power and powerlessness are amply evident. It also illustrates the micro level—the isolation of this homeless person.



one another. If macrosociologists were to analyze streetcorner men, for example, they would stress that these men are located at the bottom of the U.S. social class system. Their low status means that many opportunities are closed to them: The men have few job skills, little education, hardly anything to offer an employer. As “able-bodied” men, however, they are not eligible for welfare, even for a two-year limit, so they hustle to survive. As a consequence, they spend their lives on the streets.

Conflict theory and functionalism, both of which focus on the broader picture, are examples of this macrosociological approach. In these theories, the goal is to examine the large-scale social forces that influence people.

In the second level, **microsociology**, sociologists examine **social interaction**, what people do when they come together. Sociologists who use this approach to study streetcorner men are likely to focus on the men’s rules or “codes” for getting along; their survival strategies (“hustles”); how they divide up money, wine, or whatever other resources they have; their relationships with girlfriends, family, and friends; where they spend their time and what they do there; their language; their pecking order; and so on. With its focus on face-to-face interaction, symbolic interactionism is an example of microsociology.

Because each approach has a different focus, macrosociology and microsociology yield distinctive perspectives, and both are needed to gain a fuller understanding of social life. We cannot adequately understand street-corner men, for example, without using *macrosociology*. It is essential that we place the men within the broad context of how groups in U.S. society are related to one another—for, just as with ourselves, the social class of these men helps to shape their attitudes and behavior. Nor can we adequately understand these men without *microsociology*, for their everyday situations also form a significant part of their lives.

To see how these two approaches help us to understand social life, let’s examine each one. As we do so, you may find yourself feeling more comfortable with one approach than the other. This is what happens with sociologists. For reasons of personal background and professional training, sociologists find themselves more comfortable with one approach and tend to use it in their research. Both approaches, however, are necessary for a full understanding of life in society.

The Macrosociological Perspective: Social Structure

Why did the street people in the opening vignette act as they did, staying up all night drinking wine and ready to use a lethal weapon? Why don’t we act like this? Social structure helps us answer such questions.

The Sociological Significance of Social Structure

To better understand human behavior, we need to understand *social structure*, the framework of society that was already laid out before you were born. **Social structure** refers to the typical patterns of a group, such as its usual relationships between men and women or students and teachers. *The sociological significance of social structure is that it guides our behavior.*

Because this term may seem vague, let’s consider how you experience social structure in your own life. As I write this, I do not know your race-ethnicity. I do not know your religion. I do not know if you are young or old, tall or short, male or female. I do not know if you were reared on a farm, in the suburbs, or in the inner city. I do not know if you went to a public high school or an exclusive prep school. But I do know that you are in college. And this, alone, tells me a great deal about you.

From this one piece of information, I can assume that the social structure of your college is now shaping what you do. For example, let’s suppose that today you felt euphoric over some great news. I can be fairly certain (not absolutely, mind you, but relatively certain) that when you entered the classroom, social structure overrode your mood. That is,

macrosociology analysis of social life that focuses on broad features of society, such as social class and the relationships of groups to one another; an approach usually used by functionalists and conflict theorists

microsociology analysis of social life that focuses on social interaction; an approach usually used by symbolic interactionists

social interaction what people do when they are in one another’s presence

social structure the framework that surrounds us, consisting of the relationships of people and groups to one another, which give direction to and set limits on behavior

instead of shouting at the top of your lungs and joyously throwing this book into the air, you entered the classroom in a fairly subdued manner and took your seat.

The same social structure influences your instructor, even if, on the one hand, he or she is facing a divorce or has a child dying of cancer, or, on the other, has just been awarded a promotion or a million-dollar grant. The instructor may feel like either retreating into seclusion or celebrating wildly, but most likely he or she will conduct class in the usual manner. In short, social structure tends to override personal feelings and desires.

Just as social structure influences you and your instructor, so it also establishes limits for street people. They, too, find themselves in a specific social location in the U.S. social structure—although it is quite different from yours or your instructor's. Consequently, they are affected differently. Nothing about their social location leads them to take notes or to lecture. Their behaviors, however, are as logical an outcome of where they find themselves in the social structure as are your own. In their position in the social structure, it is just as “natural” to drink wine all night as it is for you to stay up studying all night for a crucial examination. It is just as “natural” for you to nod and say, “Excuse me,” when you enter a crowded classroom late and have to claim a desk on which someone has already placed books as it is for them to break off the head of a wine bottle and glare at an enemy.

In short, people learn their behaviors and attitudes because of their location in the social structure (whether they be privileged, deprived, or in between), and they act accordingly. This is equally true of street people and of ourselves. *The differences in behavior and attitudes are not due to biology (race, sex, or any other supposed genetic factors), but to people's location in the social structure.* Switch places with street people and watch your behaviors and attitudes change!

To better understand social structure, read the Down-to-Earth Sociology box on football below. Because social structure so crucially affects who we are and what we are like, let's look more closely at its major components: culture, social class, social status, roles, groups, and social institutions.

DOWN-TO-EARTH SOCIOLOGY

College Football as Social Structure

TO GAIN A BETTER IDEA OF WHAT *SOCIAL structure* is, think of college football (see Dobriner 1969a). You probably know the various positions on the team: center, guards, tackles, ends, quarterback, running backs, and the like. Each is a *status*; that is, each is a social position. For each of these statuses, there is a *role*; that is, each of these positions has certain expectations attached to it. The center is expected to snap the ball, the quarterback to pass it, the guards to block, the tackles to tackle or block, the ends to receive passes, and so on. Those role expectations guide each player's actions; that is, the players try to do what their particular role requires.

Let's suppose that football is your favorite sport and you never miss a home game at your college. Let's also suppose

that you graduate, get a great job, and move across the country. Five years later, you return to your campus for a nostalgic visit. The climax of your visit is the biggest football game of the season. When you get to the game, you might be surprised to see a different coach, but you are not surprised that each playing position is occupied by people you don't know, for all the players you knew have graduated, and their places have been filled by others.

This scenario mirrors *social structure*, the framework around which a group exists. In this football example, that framework consists of the coaching staff and the eleven playing positions. The game does not depend on any particular individual, but, rather, on *social statuses*, the positions that the individuals occupy. When someone leaves a position, the game can

go on because someone else takes over that position or status and plays the role. The game will continue even though not a single individual remains from one period of time to the next. Notre Dame's football team endures today even though Knute Rockne, the Gipper, and his teammates are long dead.

Even though you may not play football, you nevertheless live your life within a clearly established social structure. The statuses you occupy and the roles you play were already in place before you were born. You take your particular positions in life, others do the same, and society goes about its business. Although the specifics change with time, the game—whether of life or of football—goes on.



Culture

In Chapter 2, we considered culture's far-reaching effects on our lives. At this point, let's simply summarize its main impact. Sociologists use the term *culture* to refer to a group's language, beliefs, values, behaviors, and even gestures. Culture also includes the material objects that a group uses. Culture is the broadest framework that determines what kind of people we become. If we are reared in Eskimo, Arabian, Russian, or U.S. culture, we will grow up to be like most Eskimos, Arabs, Russians, or Americans. On the outside, we will look and act like them; and on the inside, we will think and feel like them.

Social Class

To understand people, we must examine the social locations that they hold in life. Especially significant is *social class*, which is based on income, education, and occupational prestige. Large numbers of people who have similar amounts of income and education and who work at jobs that are roughly comparable in prestige make up a **social class**. It is hard to overemphasize this aspect of social structure, for our social class influences not only our behaviors, but even our ideas and attitudes. We have this in common, then, with the street people described in the opening vignette—both they and we are influenced by our location in the social class structure. Theirs may be a considerably less privileged position, but it has no less influence on their lives. Social class is so significant that we shall spend an entire chapter (Chapter 10) on this topic.

Social Status

When you hear the word *status*, you are likely to think of prestige. These two words are welded together in people's minds. Sociologists, however, use **status** in a different way—to refer to the *position* that someone occupies. That position may carry a great deal of prestige, as in the case of a judge or an astronaut, or it may bring little prestige, as in the case of a convenience store clerk or a hamburger flipper at a fast-food restaurant. The status may also be looked down on, as in the case of a streetcorner man, an ex-convict, or a thief.

All of us occupy several positions at the same time. Simultaneously you may be a son or daughter, a worker, a date, and a student. Sociologists use the term **status set** to refer to all the statuses or positions that you occupy. Obviously your status set changes as your particular statuses change. For example, if you graduate from college and take a full-time job, get married, buy a home, have children, and so on, your status set changes to include the positions of worker, spouse, homeowner, and parent.

Like other aspects of social structure, statuses are part of our basic framework of living in society. The example given earlier of students and teachers who come to class and do what others expect of them despite their particular moods illustrates how statuses affect our actions—and those of the people around us. Our statuses—whether daughter or son, worker or date—serve as guides for our behavior.

Ascribed and Achieved Statuses An **ascribed status** is involuntary. You do not ask for it, nor can you choose it. Some you inherit at birth such as your race-ethnicity, sex, and the social class of your parents, as well as your statuses as female or male, daughter or son, niece or nephew, and granddaughter or grandson. Others, such as teenager and senior citizen, are related to the life course discussed in Chapter 3, and are given to you later in life.

Achieved statuses, in contrast, are voluntary. These you earn or accomplish. As a result of your efforts you become a student, a friend, a spouse, a rabbi, minister, priest, or nun. Or, for lack of effort (or efforts that others fail to appreciate), you become a school dropout, a former friend, an ex-spouse, or a defrocked rabbi, priest, or nun. In other words, achieved statuses can be either positive or negative; both college president and bank robber are achieved statuses.

Each status provides guidelines for how we are to act and feel. Like other aspects of social structure, statuses set limits on what we can and cannot do. Because social statuses are an essential part of the social structure, they are found in all human groups.

social class according to Weber, a large group of people who rank close to one another in wealth, power, and prestige; according to Marx, one of two groups: capitalists who own the means of production or workers who sell their labor

status social ranking; the position that someone occupies in society or a social group

status set all the statuses or positions that an individual occupies

ascribed statuses positions an individual either inherits at birth or receives involuntarily later in life

achieved statuses positions that are earned, accomplished, or involve at least some effort or activity on the individual's part



Master statuses are those that overshadow our other statuses. Shown here is Christopher Reeve, who was paralyzed when he was thrown from a horse. Before his accident, Reeve was a top Hollywood actor, celebrating worldwide success with his role as Superman. Today, his master status is that of a person with a disability. He has accepted this status and is a spokesperson for people suffering from spinal cord injuries. Reeve is shown here at a fundraiser for the Christopher Reeve Paralysis Foundation.

status symbols items used to identify a status

master status a status that cuts across the other statuses that an individual occupies

status inconsistency ranking high on some dimensions of social class and low on others

Status Symbols People who are pleased with their particular social status may want others to recognize that they occupy that status. To elicit this recognition, they use **status symbols**, signs that identify a status. For example, people wear wedding rings to announce their marital status; uniforms, guns, and badges to proclaim that they are police officers (and to not so subtly let you know that their status gives them authority over you); and “backward” collars to declare that they are Lutheran ministers or Roman Catholic or Episcopal priests.

Some social statuses are negative, and so, therefore, are their status symbols. The scarlet letter in Nathaniel Hawthorne’s book by the same title is one example. Another is the CONVICTED DUI (Driving Under the Influence) bumper sticker that some U.S. courts require convicted drunk drivers to display if they wish to avoid a jail sentence.

All of us use status symbols to announce our statuses to others and to help smooth our interactions in everyday life. You might consider your own status symbols. For example, how does your clothing announce your statuses of sex, age, and college student?

Master Statuses A **master status** is one that cuts across the other statuses that you hold. Some master statuses are ascribed. An example is your sex. Whatever you do, people perceive you as a male or as a female. If you are working your way through college by flipping burgers, people see you not only as a burger flipper and a student, but as a *male* or *female* burger flipper and a *male* or *female* college student. Other master statuses are race and age.

Some master statuses are achieved. If you become very, very wealthy (and it doesn’t matter if your wealth comes from an invention or from the lottery—it is still *achieved* as far as sociologists are concerned), your wealth is likely to become a master status. For example, people might say, “She is a very rich burger flipper”—or more likely, “She’s very rich, and she used to flip burgers!”

Similarly, people who become disfigured find, to their dismay, that their condition becomes a master status. For example, a person whose face is scarred from severe burns will be viewed through this unwelcome master status regardless of his or her occupation or accomplishments. It is the same for people with disabilities. Those who are confined to wheelchairs can attest to how their handicap overrides all their other statuses and determines others’ perceptions of everything they do.

Although our statuses usually fit together fairly well, some people have a contradiction or mismatch between their statuses. This is known as **status inconsistency** (or discrepancy). A 14-year-old college student is an example. So is a 40-year-old married woman who is dating a 19-year-old college sophomore.

These examples reveal an essential aspect of social statuses: Like other components of social structure, they come with built-in *norms* (that is, expectations) that guide our behavior. When statuses mesh well, as they usually do, we know what to expect of people. This helps social interaction to unfold smoothly. Status inconsistency, however, upsets our expectations. If you met someone mentioned in the preceding examples, how should you act? Are you supposed to treat the 14-year-old as you would a young teenager, or as you would your college classmate? Do you react to the married woman as you would to the mother of your friend, or as you would to a classmate’s date?

Roles

All the world’s a stage
 And all the men and women merely players.
 They have their exits and their entrances;
 And one man in his time plays many parts . . .
 (William Shakespeare, *As You Like It*, Act II, Scene 7)

Like Shakespeare, sociologists see roles as essential to social life. When you were born, **roles**—the behaviors, obligations, and privileges attached to a status—were already set up for you. Society was waiting with outstretched arms to teach you how it expected you to act as a boy or a girl. And whether you were born poor, rich, or somewhere in between, that, too, attached certain behaviors, obligations, and privileges to your statuses.

The difference between role and status is that you *occupy* a status, but you *play* a role (Linton 1936). For example, being a son or daughter is your status, but your expectation of receiving food and shelter from your parents—as well as their expectation that you show respect to them—is your role. Or, again, your status is student, but your role is to attend class, take notes, do homework, and take tests.

The sociological significance of roles is that they lay out what is expected of people. They are like a fence. They allow us a certain amount of freedom, but for most of us that freedom doesn't go very far. Suppose a woman decides that she is not going to wear dresses—or a man that he will not wear suits and ties—regardless of what anyone says. In most situations, they'll stick to their decision. When a formal occasion comes along, however, such as a family wedding or a funeral, they are likely to cave in to norms that they find overwhelming. Almost all of us stay within the fences that mark out what is “appropriate” for our roles. Most of us are little troubled by such constraints, for our socialization is so thorough that we usually *want* to do what our roles indicate is appropriate.

Not all roles are forever. Some end at prescribed times, such as graduation from college. Others end even though we may not want them to, such as an unwelcome divorce. **Role exit** is the term sociologists use to refer to the ending of a role. Role exit always involves adjustments, for you have to get used to not “being” what you formerly were (Ebaugh 1988). Role exit also signals the beginning of another role, which may be welcome, such as the position you hope to get when you exit college. Or it may be unwelcome, even loathed, such as “divorced person,” *if* you really wanted the marriage to continue and didn't want to again enter the dating scene. The *if* is important, for someone who looks forward to a divorce sees it differently from someone who does not.

Groups

A **group** consists of people who regularly and consciously interact with one another. Ordinarily, the members of a group share similar values, norms, and expectations. Just as social class, statuses, and roles influence our actions, so, too, the groups to which we belong are powerful forces in our lives. In fact, *to belong to a group is to yield to others the right to make certain decisions about our behavior.* If we belong to a group, we assume an obligation to act according to the expectations of other members of that group.

Although this principle holds true for all groups, some groups wield influence over only small segments of our behavior. If you belong to a stamp collector's club, for example, the group's influence may center around your display of knowledge about stamps, and perhaps your attendance at meetings. Other groups, however, such as the family, control many aspects of our behavior. When parents say to their 15-year-old daughter, “As long as you are living under my roof, you had better be home by midnight,” they show their expectation that their children, as members of the family, will conform to their ideas about many aspects of life, including their views on curfew. They are saying that as long as the daughter wants to remain a member of the household, her behavior must conform to their expectations.

Social Institutions

At first glance, the term *social institution* may seem to have little relevance to your personal life. The term seems so cold and abstract. In fact, however, **social institutions**—the organized means that each society develops to meet its basic needs—vitaly affect your life. By weaving the fabric of society, social institutions shape your behavior. They even color your thoughts. How can this be? Look at what social institutions are: the family, religion, education, economics, medicine, politics, law, science, the military, and the mass media.

role the behaviors, obligations, and privileges attached to a status

role exit refers to the ending of a role, including the adjustments people make when they face not “being” what they formerly were

group people who have something in common and who believe that what they have in common is significant; also called a social group

social institution the organized, usual, or standard ways by which society meets its basic needs

In industrialized societies, social institutions tend to be more formal, in tribal societies more informal. Education in industrialized societies, for example, is highly structured, while in tribal societies it usually consists of informally learning what adults do. Figure 4.1 below summarizes the basic social institutions. Note that each institution has its own

Figure 4.1 Social Institutions in Industrial and Postindustrial Societies

Social Institution	Basic Needs	Some Groups or Organizations	Some Statuses	Some Values	Some Norms
Family	Regulate reproduction, socialize and protect children	Relatives, kinship groups	Daughter, son, father, mother, brother, sister, aunt, uncle, grandparent	Sexual fidelity, providing for your family, keeping a clean house, respect for parents	Have only as many children as you can afford, be faithful to your spouse
Religion	Concerns about life after death, the meaning of suffering and loss; desire to connect with the Creator	Congregation, synagogue, mosque, denomination, charitable association	Priest, minister, rabbi, worshipper, teacher, disciple, missionary, prophet, convert	Reading and adhering to holy texts such as the Bible, the Koran, and the Torah; honoring God	Attend worship services, contribute money, follow the teachings
Education	Transmit knowledge and skills across the generations	School, college, student senate, sports team, PTA, teachers' union	Teacher, student, dean, principal, football player, cheerleader	Academic honesty, good grades, being "cool"	Do homework, prepare lectures, don't snitch on classmates
Economics	Produce and distribute goods and services	Credit unions, banks, credit card companies, buying clubs	Worker, boss, buyer, seller, creditor, debtor, advertiser	Making money, paying bills on time, producing efficiently	Maximize profits, "the customer is always right," work hard
Medicine	Heal the sick and injured, care for the dying	AMA, hospitals, pharmacies, insurance companies, HMOs	Doctor, nurse, patient, pharmacist, medical insurer	Hippocratic oath, staying in good health, following doctor's orders	Don't exploit patients, give best medical care available
Politics	Establish a hierarchy of power and authority	Political parties, congresses, parliaments, monarchies	President, senator, lobbyist, voter, candidate, spin doctor	Majority rule, the right to vote as a sacred trust	One vote per person, voting as a privilege and a right
Law	Maintain social order	Police, Courts, Prisons	Judge, police officer, lawyer, defendant, prison guard	Trial by one's peers, innocence until proven guilty	Give true testimony, follow the rules of evidence
Science	Master the environment	Local, state, regional, national, and international associations	Scientist, researcher, technician, administrator, journal editor	Unbiased research, open dissemination of research findings, don't plagiarize	Follow scientific method, be objective, fully disclose research findings
Military	Protection from enemies, support of national interests	Army, navy, air force, marines, coast guard, national guard	Soldier, recruit, enlisted person, officer, prisoner, spy	To die for one's country is an honor, obedience unto death	Be ready to go to war, obey superior officers, don't question orders
Mass Media (an emerging institution)	Disseminate information, mold public opinion, report events	Television networks, radio stations, publishers	Journalist, newscaster, author, editor, publisher	Timeliness, accuracy, large audiences, freedom of the press	Be accurate, fair, timely, and profitable

values, roles, and norms. Social institutions are so significant that Part IV of this book focuses on them.

The Sociological Significance of Social Institutions

To understand social institutions is to realize how profoundly social structure affects our lives. Much of their influence lies beyond our ordinary awareness. For example, because of our economic institution, it is common to work eight hours a day for five days every week. There is nothing normal or natural about this pattern, however. Its regularity is only an arbitrary arrangement for dividing work and leisure. Yet this one aspect of a single social institution has far-reaching effects, not only in terms of how people structure their time and activities but also in terms of how they deal with family and friends, and how they meet their personal needs.

Each of the other social institutions also has far-reaching effects on our lives. Our social institutions establish the context in which we live, shaping our behavior and coloring our thoughts. Social institutions are so significant that if they were different, we would be different people. We certainly could not remain the same, for social institutions influence our orientations to the social world, and even to life itself.

An Example: The Mass Media as an Emerging Social Institution

Far beyond serving simply as sources of information, the mass media influence our attitudes toward social issues, other people, and even our self-concept. Because the media significantly shape public opinion, all totalitarian governments attempt to maintain tight control over them.

The mass media are relatively new in human history, owing their origins to the invention of the printing press in the 1400s. This invention had immediate and profound consequences on virtually all other social institutions. The printing of the Bible altered religion, for instance, while the publication of political broadsides and newspapers altered politics. From these beginnings, a series of inventions—from radio and movies to television and, more recently, the microchip—has made the media an increasingly powerful force.

One of the most significant questions we can ask about this social institution is: Who controls it? That control, which in totalitarian countries is obvious, is much less visible in democratic nations. Functionalists might conclude that the media in a democratic nation represent the varied interests of the many groups that make up that nation. Conflict theorists, in contrast, see the matter quite differently: The mass media—at least a country's most influential newspapers and television stations—represent the interests of the political elite. The wealthy and powerful use the media to mold public opinion and to help preserve their places of privilege.

Since the mass media are so influential in our lives today, the answer to this question of who controls the media is of more than passing interest. This matter is vital to our understanding of contemporary society.

Comparing Functionalist and Conflict Perspectives

Just as the functionalist and conflict perspectives of the mass media differ, so do their views of the nature of social institutions. Let's compare these views.

The Functionalist Perspective Functionalists stress that no society is without social institutions. This is because social institutions perform vital functions for society. A group may be too small to have people who specialize in education, but it will have its own established ways of teaching skills and ideas to the young. It may be too small to have a military, but it will have some mechanism of self-defense. To

The mass media are a major influence in contemporary life. Until 1436, when Johann Gutenberg invented movable type, printing was a slow process, and printed materials were expensive. Today printed materials are common and often cheap. "Cheap" has a double meaning, with its second meaning illustrated in this photo.



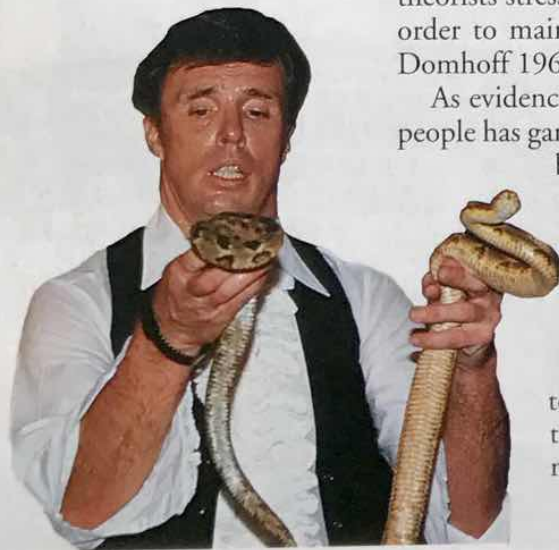
functional requisites the major tasks that a society must fulfill if it is to survive

survive, every society must meet its basic needs (or **functional requisites**). According to functionalists, that is the purpose of social institutions.

What are those basic needs? Functionalists identify five functional requisites that each society must fulfill if it is to survive (Aberle et al. 1950; Mack and Bradford 1979).

1. *Replacing members.* If a society does not replace its members, it cannot continue to exist. Because reproduction is so fundamental to a society's existence, and because every society has a vital need to protect infants and children, all groups have developed some version of the family. The family gives the newcomer to society a sense of belonging by providing a "lineage," an account of how he or she is related to others. The family also functions to control people's sex drive and to maintain orderly reproduction.
2. *Socializing new members.* Each baby must be taught what it means to be a member of the group into which it is born. To accomplish this, each human group develops devices to ensure that its newcomers learn the group's basic expectations. As the primary "bearer of culture," the family is essential to this process, but other social institutions, such as religion and education, also help meet this basic need.
3. *Producing and distributing goods and services.* Every society must produce and distribute basic resources, from food and clothing to shelter and education. Consequently, every society establishes an *economic* institution, a means of producing goods and services along with routine ways of distributing them.
4. *Preserving order.* Societies face two threats of disorder: one internal, the potential for chaos, and the other external, the possibility of attack. To defend themselves against external conquest, they develop a means of defense, some form of the military. To protect themselves from internal threat, they develop a system of policing themselves, ranging from formal organizations of armed groups to informal systems of gossip.
5. *Providing a sense of purpose.* For people to cooperate with one another and willingly give up personal gains in favor of working with and for others, they need a sense of purpose. They need to be convinced that it is worth sacrificing for the common good. Human groups develop many ways to instill such beliefs, but a primary one is religion, which attempts to answer questions about ultimate meaning. Actually, all of a society's institutions are involved in meeting this functional requisite; the family provides one set of answers about the sense of purpose, the school another, and so on. All of the answers are interrelated.

Functionalist theorists have identified five functional requisites for the survival of a society. One, providing a sense of purpose, is often met through religious groups. To most people, snake handling, as in this church service in Jolo, West Virginia, is nonsensical. From a functional perspective, however, it makes a great deal of sense. Can you identify its sociological meanings?



The Conflict Perspective Although conflict theorists agree that social institutions were originally designed to meet basic survival needs, they do not view social institutions as working harmoniously for the common good. On the contrary, conflict theorists stress that powerful groups control society's institutions, manipulating them in order to maintain their own privileged position of wealth and power (Useem 1984; Domhoff 1967, 1998, 1999b).

As evidence of their position, conflict theorists point out that a fairly small group of people has garnered the lion's share of the nation's wealth. Members of this elite sit on the boards of major corporations and the country's most prestigious universities. They make strategic campaign contributions to influence (or control) the nation's lawmakers, and it is they who make the major decisions in this society: to go to war or to refrain from war; to increase or to decrease taxes; to raise or to lower interest rates; and to pass laws that favor or impede moving capital, technology, and jobs out of the country.

Feminist sociologists (both women and men) have used conflict theory to gain a better understanding of how social institutions affect gender relations. Their basic insight is that gender is also an element of social structure, not simply a characteristic of individuals. In other words, throughout the world, social institutions divide males and females into separate groups, each with unequal access to society's resources.

Conflict theorists regard our social institutions as having a single primary purpose—to preserve the social order. They interpret this as preserving the wealthy and powerful in their privileged positions. Functionalists, in contrast, view social institutions as working together to meet universal human needs.

Changes in Social Structure

As you can see, this enveloping system that we call social structure powerfully affects our lives. This means that as social structure changes, so, too, do our orientations to life. Consider how culture changes as it responds to evolving values and new technology, and to innovative ideas from home and abroad. Our new era of “globalization” puts us in contact with the customs of many other people. As globalization causes our economy to grow or to stagnate, it opens or closes opportunities and changes our lives, sometimes brutally so. Groups that did not exist, such as the IRS, come into being, and afterward wield extraordinary power over us. The corner in life that we occupy is not independent of these forces, but is pushed and pulled in different directions as social structure changes.

What Holds Society Together?

With its many, often conflicting, groups and its extensive social change, how does society manage to hold together? Let’s examine two answers that sociologists have proposed.

Mechanical and Organic Solidarity Sociologist Emile Durkheim (1893/1933) found the key to **social cohesion**—the degree to which members of a society feel united by shared values and other social bonds—in what he called **mechanical solidarity**. By this term, Durkheim meant that people who perform similar tasks develop a shared consciousness, a sense of similarity that unites them into a common whole. Think of an agricultural society in which everyone is involved in planting, cultivating, and harvesting. Members of this group have so much in common that they know how most others feel about life. Societies with mechanical solidarity tolerate little diversity in thinking and attitudes, for their unity depends on similar thinking.

As societies get larger, their **division of labor** (how they divide up work) becomes more specialized. Some people mine gold, others turn it into jewelry, while still others sell it. This division of labor makes people depend on one another—for the work of each person contributes to the welfare of the whole.

Because this form of solidarity is based on interdependence, Durkheim called it **organic solidarity**. To see why he used this term, think about how you depend on your teacher to guide you through this introductory course in sociology. At the same time, your teacher needs you and other students in order to have a job. The two of you are *like organs in the same body*. (The “body” in this case is the college or university.) Although each of you performs different tasks, you depend on one another. This creates a form of unity.

The change to organic solidarity meant a new basis for solidarity—not similar views, but separate activities that contribute to the overall welfare of the group. As a result, modern societies can tolerate many differences among people and still manage to work as a whole. Both past and present societies are based on social solidarity, but the types of solidarity differ remarkably.

Gemeinschaft and Gesellschaft Ferdinand Tönnies (1887/1988) also analyzed this major change. Tönnies used the term *Gemeinschaft* (Guh-MINE-shoft), or “intimate community,” to describe village life, the type of society in which everyone knows everyone else. He noted that in the society that was emerging, the village’s personal ties, family connections, and lifelong friendships were being crowded out by short-term relationships, individual accomplishments, and self-interest. Tönnies called this new type

social cohesion the degree to which members of a group or a society feel united by shared values and other social bonds

mechanical solidarity Durkheim’s term for the unity (a shared consciousness) that people feel as a result of performing the same or similar tasks

division of labor the splitting of a group’s or a society’s tasks into specialties

organic solidarity solidarity based on the interdependence that results from the division of labor; people needing others to fulfill their jobs

Gemeinschaft a type of society in which life is intimate; a community in which everyone knows everyone else and people share a sense of togetherness



Warm, ongoing relationships of *Gemeinschaft* society are apparent in this restaurant in Munich, Germany, while the more impersonal relationships of *Gesellschaft* society are evident in the Cybernet cafe in Seattle where people ignore one another in favor of electronic interaction via the Internet. Internet interactions do not easily fit standard sociological models—another instance of cultural lag.

of society *Gesellschaft* (Guh-ZELL-shoft), or “impersonal association.” He did not mean that we no longer have intimate ties to family and friends, but, rather, that these ties have shrunk in importance. Contracts, for example, replace handshakes, and work doesn’t center around friends and family, but strangers and short-term acquaintances.

How Relevant Are These Concepts Today? I know that *Gemeinschaft*, *Gesellschaft*, and *mechanical* and *organic solidarity* are strange terms and that Durkheim’s and Tönnies’ observations must seem like a dead issue. The concern these sociologists expressed, however—that their world was changing from a community in which people are united by shared ideas and feelings to an anonymous association built around impersonal, short-term contacts—is still very real. In large part, this same concern explains the rise of Islamic fundamentalism (Volti 1995). Islamic leaders fear that Western values will uproot their traditional culture, that cold rationality will replace warm, personal relationships among families and clans. They fear, rightly so, that this will change even their views on life and morality. Although the terms may sound strange, even obscure, you can see that the ideas remain a vital part of today’s world.

IN SUM

Gesellschaft a type of society that is dominated by impersonal relationships, individual accomplishments, and self-interest

Whether the terms are *Gemeinschaft* and *Gesellschaft* or *mechanical solidarity* and *organic solidarity*, they indicate that as societies change, so do people’s orientations to life. *The sociological point is that social structure sets the context for what we do, feel, and think, and ultimately, then, for the kind of people we become.* As you read the Cultural Diversity box on the next page, which describes one of the few remaining *Gemeinschaft* societies in the United States, think of how fundamentally different you would be had you been reared in an Amish family.

CULTURAL DIVERSITY

in the UNITED STATES

The Amish—*Gemeinschaft* Community in a *Gesellschaft* Society

In Ferdinand Tönnies' term, the United States is a *Gesellschaft* society. Impersonal associations pervade our everyday life. Local, state, and federal governments regulate many activities. Impersonal corporations hire and fire people not on the basis of personal relationships, but on the basis of the bottom line. And, perhaps even more significantly, millions of Americans do not even know their neighbors.

Within the United States, a handful of small communities exhibits characteristics that depart from those of the mainstream society. One such community is the Old Order Amish, followers of a sect that broke away from the Swiss-German Mennonite church in the 1600s, and settled in Pennsylvania around 1727. Today, about 150,000 Old Order Amish live in the United States. About 75 percent live in just three states: Pennsylvania, Ohio, and Indiana. The largest concentration, about 22,000, reside in Lancaster County, Pennsylvania. The Amish, who believe that birth control is wrong, have doubled in population in just the past two decades.

Because these farmers use horses instead of tractors, most Amish farms are one hundred acres or less. To the five million tourists who pass through Lancaster County each year, the rolling green pastures, white farmhouses, simple barns, horse-drawn buggies, and clotheslines hung with somber-colored garments convey a sense of peace and innocence reminiscent of another era. Although just sixty-five miles from Philadelphia, "Amish country" is a world away.

Amish life is based on separation from the world—an idea taken from Christ's



Sermon on the Mount—and obedience to the church's teachings and leaders. This rejection of worldly concerns, writes sociologist Donald Kraybill in *The Riddle of Amish Culture* (1989), "provides the foundation of such Amish values as humility, faithfulness, thrift, tradition, communal goals, joy of work, a slow-paced life, and trust in divine providence."

The *Gemeinschaft* of village life that Tönnies regretted as being lost to industrialization is still alive among the Amish. The Amish make their decisions in weekly meetings, where, by consensus, they follow a set of rules, or *Ordnung*, to guide their behavior. Religion and discipline are the glue that holds the Amish together. Brotherly love and the welfare of the community are paramount values. In times of birth, sickness, and death, neighbors pitch in with the chores. In these ways, they maintain the bonds of intimate community.

The Amish are bound by other ties, including language (a dialect of German known as Pennsylvania Dutch), black clothing whose style has remained unchanged for almost 300 years, and church-sponsored schools. Nearly all Amish marry, and divorce is forbidden. The family is a vital ingredient in Amish

life; all major events take place in the home, including weddings, births, funerals, and church services. Amish children attend church schools, but only until the age of 13. (In 1972, the Supreme Court ruled that Amish parents had the right to take their children out of school after the eighth grade.) To go to school beyond the eighth grade would expose them to values and "worldly concerns" that would drive a wedge between them and their community. The Amish believe that violence is bad, even personal self-defense, and they register as conscientious objectors during times of war. They pay no social security, and they collect none.

The Amish cannot resist all change, of course. Instead, they try to adapt to change in ways that will least disrupt their core values. Because urban sprawl has driven up the price of farmland, about half of Amish men work at jobs other than farming, most in farm-related businesses or in woodcrafts. They go to great lengths to avoid leaving the home. The Amish believe that when a husband works away from home, all aspects of life change—from the marital relationship to the care of the children—certainly an astute sociological insight. They also believe that if a man receives a paycheck, he will think that his work is of more value than his wife's. For the Amish, intimate, or *Gemeinschaft*, society is essential for maintaining their way of life.

Sources: Hostetler 1980; Bender 1990; Kephart and Zellner 2001; Aepfel 1996; Savells 2003; Kraybill 2002.; Dawley 2003.



The Microsociological Perspective: Social Interaction in Everyday Life

Whereas the macrosociological approach stresses the broad features of society, the microsociological approach has a narrower focus. Microsociologists examine *face-to-face interaction*, what people do when they are in one another's presence. Let's examine some of the areas of social life that microsociologists study.

Symbolic Interaction

For symbolic interactionists, the most significant part of life in society is social interaction. Symbolic interactionists are especially interested in the symbols that people use. They want to know how people look at things and how this, in turn, affects their behavior and orientations to life. Of the many areas of social life that microsociologists study, let's look at stereotyping, personal space, touching, and eye contact.

Stereotypes in Everyday Life You are familiar with how strong first impressions are and the way they “set the tone” for interaction. When you first meet someone, you cannot help but notice certain highly visible and distinctive features, especially the person's sex, race, age, and physical appearance. Despite your best intentions, your assumptions about these characteristics shape your first impressions. They also affect how you act toward that person—and, in turn, how that person acts toward you. These fascinating aspects of our social interaction are discussed in the Down-to-Earth Sociology box on the next page.

Personal Space We all surround ourselves with a “personal bubble” that we go to great lengths to protect. We open the bubble to intimates—to our friends, children, parents, and so on—but we're careful to keep most people out of this space. In the hall, we might walk with our books clasped in front of us (a strategy often chosen by females). We carefully line up at the drinking fountain, making certain there is space between us so we don't touch the person in front of us and we aren't touched by the person behind us.

At times we extend our personal space. In the library, for example, you may place your coat on the chair next to you—claiming that space for yourself even though you aren't using it. If you want to really extend your space, you might even spread books in front of the other chairs, keeping the whole table to yourself by giving the impression that others have just stepped away.

The amount of space people prefer varies from one culture to another. South Americans, for example, like to be closer when they speak to others than do people reared in the United States. Anthropologist Edward Hall (1959; Hall and Hall 2003) recounts a conversation with a man from South America who had attended one of his lectures.

He came to the front of the class at the end of the lecture. . . . We started out facing each other, and as he talked I became dimly aware that he was standing a little too close and that I was beginning to back up. Fortunately I was able to suppress my first impulse and remain stationary because there was nothing to communicate aggression in his behavior except the conversational distance. . . .

By experimenting I was able to observe that as I moved away slightly, there was an associated shift in the pattern of interaction. He had more trouble expressing himself. If I shifted to where I felt comfortable (about twenty-one inches), he looked somewhat puzzled and hurt, almost as though he were saying, “Why is he acting that way? Here I am doing everything I can to talk to him in a friendly manner and he suddenly withdraws. Have I done anything wrong? Said something I shouldn't?” Having ascertained that distance had a direct effect on his conversation, I stood my ground, letting him set the distance.

As you can see, despite Hall's extensive knowledge of other cultures, he still felt uncomfortable in this conversation. He first interpreted the invasion of his personal space

stereotype assumptions of what people are like, whether true or false

Beauty May Be Only Skin Deep, But Its Effects Go On Forever: Stereotypes in Everyday Life

MARK SNYDER, A PSYCHOLOGIST, WONDERED if stereotypes—our assumptions of what people are like—might be self-fulfilling. He came up with an ingenious way to test this idea. He (1993) gave college men a Polaroid snapshot of a woman (supposedly taken just moments before) and told them that he would introduce them to her after they talked with her on the telephone. Actually, photographs showing either a pretty or a homely woman had been prepared before the experiment began. The photo was not of the woman the men would talk to.

Stereotypes came into play immediately. As Snyder gave each man the photograph, he asked him what he thought the woman would be like. The men who saw the photograph of the attractive woman said they expected to meet a poised, humorous, outgoing woman. The men who had been given a photo of the unattractive woman described her as awkward, serious, and unsociable.

The men's stereotypes influenced the way they spoke to the women on the telephone. The men who had seen the photograph of a pretty woman were warm,

friendly, and humorous. This affected the women they spoke to, for they responded in a warm, friendly, outgoing manner. And the men who had seen the photograph of a homely woman? On the phone, they were cold, reserved, and humorless, and the women they spoke to became cool, reserved, and humorless. Keep in mind that the women did not know that their looks had been evaluated—and that the photographs were not even of them. In short, stereotypes tend to produce behaviors that match the stereotype. This principle is illustrated in Figure 4.2.

While beauty might be only skin deep, its consequences permeate our lives (Katz 2003). Beauty bestows an advantage in everyday interaction, but it also has other effects. For one, if you are physically attractive, you are likely to make more money. Researchers in both Holland and the United States found that advertising firms with better-looking executives have higher revenues (Bosman et al. 1997; Pfann et al. 2000). The reason? The researchers suggest that people are more willing to associate with those they perceive as good-looking.



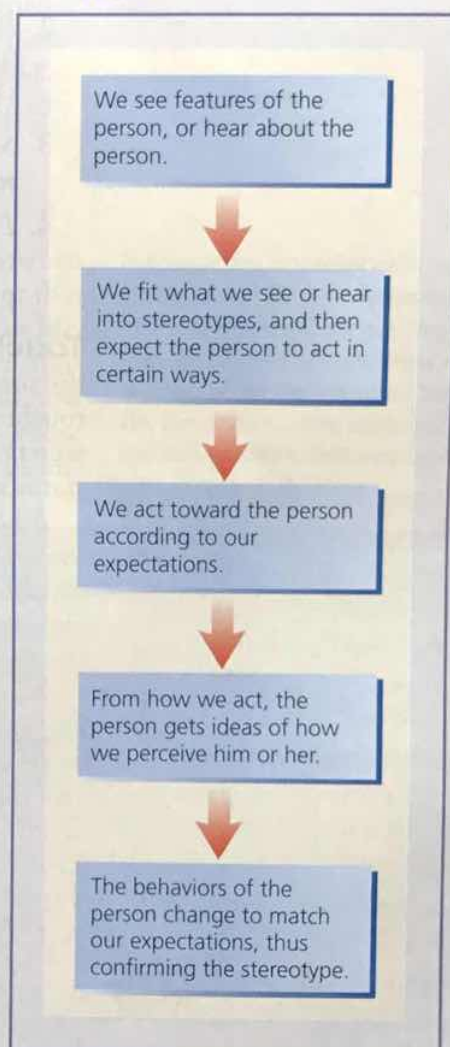
Physical attractiveness underlies much of our social interaction in everyday life. The experiment reviewed in this box illustrates how college men modified their interactions on the basis of attractiveness. How do you think men would modify their interactions if they were to meet the two women in these photographs? How about women? Would they change their interactions in the same way?

For Your CONSIDERATION

Stereotypes have no single, inevitable effect. They are not magical. People can resist stereotypes and change outcomes. However, these studies do illustrate that stereotypes deeply influence how we react to one another.

Instead of beauty, consider gender and race-ethnicity. How do they affect those who do the stereotyping and those who are stereotyped?

Figure 4.2 How Self-Fulfilling Stereotypes Work





Social space is one of the many aspects of social life studied by sociologists who have a micro-sociological focus. What do you see in common in these two photos?

as possible aggression, for people get close (and jut out their chins and chests) when they are hostile. But when he realized that was not the case, Hall resisted his impulse to move. After Hall (1969; Hall and Hall 2003) analyzed situations like this, he observed that North Americans use four different “distance zones.”

1. *Intimate distance.* This is the zone that the South American unwittingly invaded. It extends to about 18 inches from our bodies. We reserve this space for lovemaking, comforting, protecting, wrestling, hugging, and intimate touching.
2. *Personal distance.* This zone extends from 18 inches to 4 feet. We reserve it for friends and acquaintances and ordinary conversations. This is the zone in which Hall would have preferred speaking with the South American.
3. *Social distance.* This zone, extending out from us about 4 to 12 feet, marks impersonal or formal relationships. We use this zone for such things as job interviews.
4. *Public distance.* This zone, extending beyond 12 feet, marks even more formal relationships. It is used to separate dignitaries and public speakers from the general public.

Touching Not only does frequency of touching differ across cultures, but so does the meaning of touching within a culture. In general, higher-status individuals do more touching. Thus you are much more likely to see teachers touch students and bosses touch secretaries than the other way around. Apparently it is considered unseemly for lower-status individuals to put their hands on superiors.

An experiment with surgery patients illustrates how touching can have different meanings. The nurse, whose job it was to tell patients about their upcoming surgery, purposely touched the patients twice, once briefly on the arm when she introduced herself, and then for a full minute on the arm during the instruction period. When she left, she also shook the patient’s hand (Thayer 1988).

Men and women reacted differently. For the women patients, the touching was soothing. It lowered their blood pressure and anxiety both before the surgery and for more than an hour afterward. The touching upset the men, however. Their blood pressure and anxiety increased. No one knows the reason for this difference. The experimenters suggest that the men found it harder to acknowledge dependency and fear. Instead of a comfort, the touch was a threatening reminder of their vulnerability. Perhaps. We don’t know that answer. For this, we need more research.

Eye Contact One way we protect our personal bubble is by controlling eye contact. Letting someone gaze into our eyes—unless the person is our eye doctor—can easily be taken as a sign that we are attracted to that person, and even as an invitation to intimacy. A chain of supermarkets in Illinois, wanting to become “the friendliest store in town,” ordered their checkout clerks to make direct eye contact with each customer. Women clerks complained that men customers were taking their eye contact the wrong

dramaturgy an approach, pioneered by Erving Goffman, in which social life is analyzed in terms of drama or the stage; also called *dramaturgical analysis*

impression management people’s efforts to control the impressions that others receive of them

front stage where performances are given

back stage where people rest from their performances, discuss their presentations, and plan future performances



"Eye encounters" are a fascinating aspect of everyday life. We use fleeting eye contact for most of our interactions, such as those with clerks or people we pass in the hall between classes. Just as we reserve our close personal space for intimates, so, too, we reserve lingering eye contact for them.

way, as an invitation to intimacy. Management said they were exaggerating. The clerk's reply was, "We know the kind of looks we're getting back from men," and they refused to make direct eye contact with them.

Let's now turn to dramaturgy, a special area of symbolic interactionism.

Dramaturgy: The Presentation of Self in Everyday Life

It was their big day, two years in the making. Jennifer Mackey wore a white wedding gown adorned with an 11-foot train and 24,000 seed pearls that she and her mother had sewn onto the dress. Next to her at the altar in Lexington, Kentucky, stood her intended, Jeffrey Degler, in black tie. They said their vows, then turned to gaze for a moment at the four hundred guests. That's when groomsman Daniel Mackey collapsed. As the shocked organist struggled to play Mendelssohn's "Wedding March," Mr. Mackey's unconscious body was dragged away, his feet striking—loudly—every step of the altar stairs.

"I couldn't believe he would die at my wedding," the bride said. (Hughes 1990)

Sociologist Erving Goffman (1922–1982) added a new twist to microsociology when he developed **dramaturgy** (or dramaturgical analysis). By this term he (1959) meant that social life is like a drama or a stage play: Birth ushers us onto the stage of everyday life, and our socialization consists of learning to perform on that stage. The self that we studied in the previous chapter lies at the center of our performances. We have ideas of how we want others to think of us, and we use our roles in everyday life to communicate those ideas. Goffman called these efforts to manage the impressions that others receive of us **impression management**.

Everyday life, said Goffman, involves playing our assigned roles. We have **front stages** on which to perform them, as did Jennifer and Jeffrey. (By the way, Daniel Mackey didn't really die—he had just fainted.) But we don't have to look at weddings to find front stages. Everyday life is filled with them. Where your teacher lectures is a front stage. And if you make an announcement at the dinner table, you are using a front stage. In fact, you spend most of your time on front stages, for a front stage is wherever you deliver your lines. We also have **back stages**, places where we can retreat and let our hair down. When you close the bathroom or bedroom door for privacy, for example, you are entering a back stage.

The same setting can serve as both a back and a front stage. For example, when you get into your car and look over your hair in the mirror or check your makeup, you are using the car as a back stage. But when you wave at friends or if you give that familiar gesture to someone who has just cut in front of you in traffic, you are using your car as a front stage.

In dramaturgy, a specialty within sociology, social life is viewed as similar to the theater. In our everyday lives, we all are actors like those in this cast of The George Lopez Show. We, too, perform roles, use props, and deliver lines to fellow actors—who, in turn, do the same.





Role performance refers to how we play our roles. One of the main roles we are assigned in life is gender. We learn our initial "gender lessons" early in life, and we are careful to project images that match cultural stereotypes. Shown here is "Pat," a former character on Saturday Night Live. The humor centered around people who didn't know whether to react to Pat as a male or as a female.

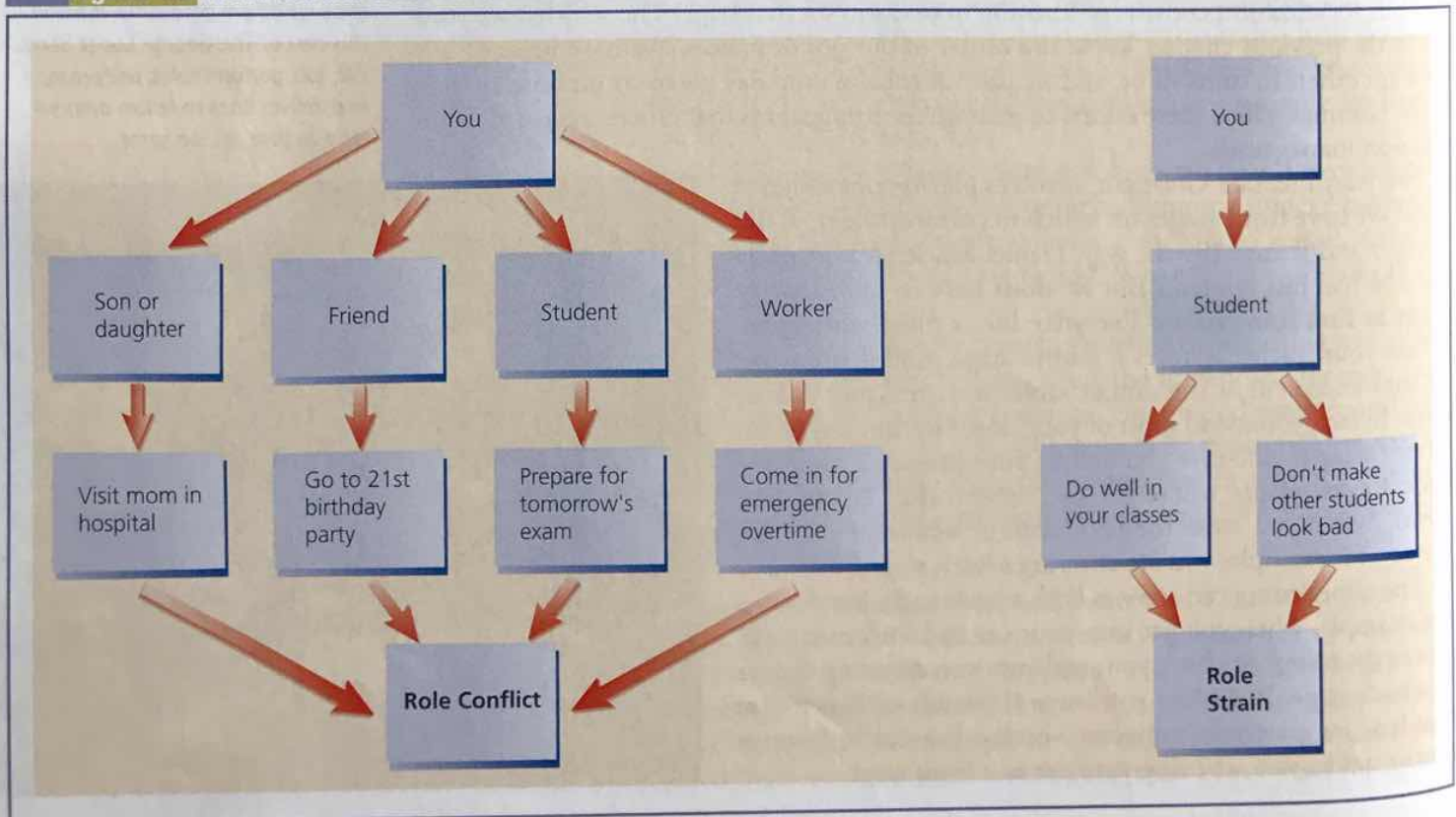
Everyday life brings with it many roles. The same person may be a student, a teenager, a shopper, a worker, a date, as well as a daughter or a son. Although a role lays down the basic outline for a performance, it also allows a great deal of freedom. The particular emphasis or interpretation that we give a role, our "style," is known as **role performance**. Consider your role as son or daughter. You may play the role of ideal daughter or son, being very respectful, coming home at the hours your parents set, and so forth. Or this description may not even come close to your particular role performance.

Ordinarily our roles are sufficiently separated that conflict between them is minimized. Occasionally, however, what is expected of us in one role is incompatible with the expectations of another role. This problem, known as **role conflict**, makes us uncomfortable, as illustrated in Figure 4.3, in which family, friendship, student, and work roles come crashing together. Usually, however, we manage to avoid role conflict by segregating our roles, which in some instances may require an intense juggling act.

Sometimes the *same* role presents inherent conflict, a problem known as **role strain**. Suppose you are exceptionally well prepared for a particular class assignment. Although the instructor asks an unusually difficult question, you find yourself knowing the answer when no one else does. If you want to raise your hand, yet don't want to make your fellow students look bad, you will experience role strain. As illustrated in Figure 4.3, the difference between role conflict and role strain is that role conflict is conflict *between* roles, while role strain is conflict *within* a role.

A fascinating characteristic of roles is that *we tend to become the roles we play*. That is, roles become incorporated into the self-concept, especially those for which we prepare long and hard and that become part of our everyday lives. When sociologist Helen Ebaugh (1988), who had been a nun, studied *role exit*, she interviewed people who had left marriages, police work, the military, medicine, and religious vocations. She found that the role had become so intertwined with the individual's self-concept that leaving it threatened the person's identity. The question these people struggled with was "Who am I, now that I am not a nun (or physician, wife, colonel, and so on)?" Even years after leaving these roles, many continued to perform them in their dreams.

Figure 4.3 Role Strain and Role Conflict



Having become such a part of the person, these roles linger after the individual has left them. This is illustrated by one of my own respondents, who said:

After I left the (Protestant) ministry, I felt like a fish out of water. *Wearing that backward collar had become a part of me.* It was especially strange on Sunday mornings when I'd listen to someone else give the sermon. I knew that I should be up there preaching. I felt as though I had left God.

To communicate information about the self, we use three types of **sign-vehicles**: the social setting, our appearance, and our manner. The *social setting* is the place where the action unfolds. This is where the curtain goes up on your performance, where you find yourself on stage playing parts and delivering lines. A social setting might be an office, dorm, living room, church, gym, or bar. It is wherever you interact with others. Your social setting includes *scenery*, the furnishings you use to communicate messages, such as desks, blackboards, scoreboards, couches, and so on.

The second sign-vehicle is *appearance*, or how we look when we play our roles. Appearance includes *props*, which are like scenery except that they decorate the person rather than the setting. The teacher has books, lecture notes, and chalk, while the football player wears a special costume called a uniform. Although few of us carry around a football, we all use makeup, hairstyles, and clothing to communicate messages about ourselves. Props and other aspects of appearance give us cues that help us get through everyday life: By letting us know what to expect from others, props tell us how we should react. Think of the messages that props communicate. Some people use clothing to say they are college students, others to say they are older adults. Some use clothing to say they are clergy, others to say they are prostitutes. Similarly, people choose brands of cigarettes, liquor, and automobiles to convey messages about the self.

Even our body is a prop, its shape proclaiming messages about the self. The messages that are attached to various shapes change over time, but, as explored in the Mass Media box on the next page, currently thinness screams desirability.

The third sign-vehicle is *manner*, the attitudes we show as we play our roles. We use manner to communicate information about our feelings and moods. If we show anger or indifference, sincerity or good humor, for example, we indicate to others what they can expect of us as we play our roles.

We become so used to the roles we play in everyday life that we tend to think we are “just doing” things, not that we are like actors on a stage who manage impressions. Yet every time we dress for school, or for any other activity, we are preparing for impression management. Have you ever noticed how your very casually dressed classmates tend to change their appearance on the day they are scheduled to make a report to the class? No one asks them to do so, but their role has changed, and they dress for their slightly modified part. Similarly, you may have noticed that when teenagers begin to date, they take several showers a day, stand before a mirror for hours as they comb and recomb their hair, and then change and rechange their clothing until they “get it just right.”

Despite our best efforts to manage impressions, however, we sometimes fail. One of my favorite television scenes took place on an old TV show, *The Days and Nights of Molly*

role performance the ways in which someone performs a role within the limits that the role provides; showing a particular “style” or “personality”

role conflict conflicts that someone feels *between* roles because the expectations attached to one role are incompatible with the expectations of another role

role strain conflicts that someone feels *within* a role

sign-vehicles the term used by Goffman to refer to how people use social setting, appearance, and manner to communicate information about the self



Both individuals and organizations do impression management, trying to communicate messages about the self (or organization) that best meets their goals. At times, these efforts fail.

You Can't Be Thin Enough: Body Images and the Mass Media

An ad for Kellogg's Special K cereal shows an 18-month-old girl wearing nothing but a diaper. She has a worried look on her face. A bubble caption over her head has her asking, "Do I look fat?" (Krane et al. 2001)

When you stand before a mirror, do you like what you see? To make your body more attractive, do you watch your weight or work out? You have ideas about what you should look like. Where did you get them?

TV and magazine ads keep pounding home the message that our bodies aren't good enough, that we've got to improve them. The way to improve them, of course, is to buy the advertised products: wigs, hairpieces, hair transplants, padded brassieres, diet pills, and exercise equipment. Female movie stars effortlessly go through tough workouts without even breaking into a sweat. Muscular hulks show off machines that magically produce steel abs and incredible biceps—in just a few minutes a day. Women and men get the feeling that attractive members of the opposite sex will flock to them if they purchase that wonder-working workout machine.

Although we try to shrug off such messages, knowing they are designed to sell products, they still get our attention. They penetrate our thinking and feelings, helping to shape ideal images of how we "ought" to look. Those models so attractively clothed and



All of us contrast the reality we see when we look in the mirror with our culture's ideal body types. Lara Flynn Boyle, a top U.S. actress, represents an ideal body type that has developed in some parts of Western culture. These cultural images often make it difficult for large people to maintain positive images of their bodies. These twins in Los Angeles, California have struggled against dominant cultural images.

coiffed as they walk down the runway, could they be any thinner? For women, the message is clear: You can't be thin enough. The men's message is also clear: You can't be strong enough.

Woman or man, your body isn't good enough. It sags where it should be firm. It bulges where it shouldn't, and it doesn't stick out enough where it should.

Dodd. In this particular episode, Molly Dodd tried to impress a date. She went to the "powder room," a backstage fix-up place reserved for women, where she did the usual things. Satisfied that she looked good, she tried to make a grand entrance, walking confidently, an expectant smile on her face—all the while trailing a long piece of toilet paper from her shoe. The scene is humorous because it highlights an incongruity of elements, which creates *embarrassment*. In dramaturgical terms, embarrassment is a feeling we get when our performance fails.

If we show ourselves to be good role players, we get positive recognition from others. To accomplish this, said Goffman, we often use **teamwork**—two or more people working together to make certain that a performance goes off as planned. When a performance doesn't come off quite right, we use **face-saving behavior**. We may ignore flaws in someone's performance, which Goffman defines as *tact*. Suppose your teacher is about to make an important point. Suppose also that her lecturing has been outstanding and the class is hanging on every word. Just as she pauses for emphasis, her stomach lets out a loud growl. She might then use a *face-saving technique* by remarking, "I was so busy preparing for class that I didn't get breakfast this morning." It is more likely, however, that both class and

teamwork the collaboration of two or more people to manage impressions jointly

face-saving behavior techniques used to salvage a performance that is going sour

And—no matter what your weight—it's too much. You've got to be thinner.

Exercise takes time, and it's painful getting in shape. Once you do get in shape, if you slack off it seems to take only a few days for your body to return to its previous slothful, drab appearance. You can't let up, you can't exercise enough, and you can't diet enough.

But who can continue at such a torrid pace, striving for what are unrealistic cultural ideals? A few people, of course, but not many. So liposuction is appealing. Just lie there, put up with a little discomfort, and the doctor will suck the fat right out of you. Surgeons can transform flat breasts into super breasts overnight. They can lower receding hairlines and smooth furrowed brows. They remove lumps with their magical tummy tucks, and take off a decade with their rejuvenating skin peels and face lifts.

With the bosomy girls on *Baywatch* the envy of all, and the impossibly shaped models at *Victoria's Secret* the standard to which they hold themselves, even teens call the plastic surgeon. Anxious lest their child violate peer ideals and trail behind in her race for popularity, parents foot the bill. Some parents pay \$25,000 just to give their daughters a flatter tummy (Gross 1998).

With peer pressure to alter the body already intense, surgeons keep stoking the fire. A sample ad: "No Ifs, Ands or Butts. You Can

Change Your Bottom Line in Hours!" Some surgeons even offer gift certificates—so you can give your loved ones liposuction or botox injections along with their greeting card (Dowd 2002).

The thinness craze has moved to the East. Glossy magazines in Japan and China are filled with skinny models and crammed with ads touting diet pills and diet teas. In China, where famine used to abound, a little extra padding was valued as a sign of good health. Today, the obsession is thinness (Rosenthal 1999). Not-so-subtle ads scream that fat is bad. Some teas come with a package of diet pills. Weight-loss machines, with electrodes attached to acupuncture pressure points, not only reduce fat, but they also build breasts. Or so the advertisers claim.

Not limited by our rules, advertisers in Japan and China push a soap that supposedly "sucks up fat through the skin's pores" (Marshall 1995). What a dream product! After all, even though those TV models smile as they go through their paces, those exercise machines do look like a lot of hard work.

Then there is the other bottom line. Attractiveness does pay off. Economists studied physical attractiveness and earnings. The result? "Good-looking" men and women earn the most, "average-looking" men and women earn more than "plain" people, and the "ugly" are paid a "pittance" (Hamermesh and Biddle

1994). Consider obese women: Their net worth is less than half that of their slimmer sisters ("Fat is a Financial Issue" 2000). "Attractive" women have another cash advantage—they attract and marry higher earning men.

More popularity *and* more money? Maybe you can't be thin enough after all. Maybe those exercise machines are a good investment. If only we could catch up with the Japanese and develop a soap that would suck the fat right out of our pores. You can practically hear the jingle now.

For Your CONSIDERATION

What image do you have of your body? How do cultural expectations of "ideal" bodies underlie your image? Can you recall any advertisement or television program that has affected your body image?

Most advertising and television programs that focus on weight are directed at women. Women are more concerned than men about weight, more likely to have eating disorders, and more likely to express dissatisfaction with their bodies (Honeycutt 1995; Stinson 2001). Do you think that the targeting of women in advertising creates these attitudes and behaviors? Or do you think that these attitudes and behaviors would exist even if there were no such ads? Why?



teacher will simply ignore the sound, both giving the impression that no one heard a thing—a face-saving technique called *studied nonobservance*. This allows the teacher to make the point, or as Goffman would say, it allows the performance to go on.

Before closing this section, we should note that impression management is not limited to individuals. Families, businesses, colleges, sports teams, in fact probably all groups, try to manage impressions. So do governments. When on September 11, 2001, Arab terrorists hijacked four commercial airliners and flew three of them into the World Trade Center in New York City and the Pentagon in Washington, D.C., the president was in Florida, speaking at a grade school. For his safety, the Secret Service rushed him into hiding, first to a military base in Louisiana, then to another base in Nebraska. At first, Bush addressed the nation from these secluded locations. To assure the people that the government was still in control, it wouldn't do for the president to speak while in hiding. He had to get back to Washington. The perceived danger to the president was ruled less important than his presence in the White House. To reassure the public, Bush was flown to Washington, escorted by U.S. Air Force F-16 fighter jets, where that same evening he addressed the American people from within the symbol of power, the Oval office.

Ethnomethodology: Uncovering Background Assumptions

As discussed in Chapter 1, symbolic interactionists stress that the events of life do not come with built-in meanings. Rather, we give meaning to things by classifying them. When we place objects and events into the classifications provided by our culture, we are doing more than naming things—we are interpreting our world.

Certainly one of the strangest words in sociology is *ethnomethodology*. To better understand this term, consider the word's three basic components. *Ethno* means folk or people; *method* means how people do something; *ology* means "the study of." Putting them together, then, *ethno/methodology* means "the study of how people do things." Specifically, **ethnomethodology** is the study of how people use commonsense understandings to make sense of life.

Let's suppose that during a routine office visit, your doctor remarks that your hair is rather long, then takes out a pair of scissors and starts to give you a haircut. You would feel strange about this—for your doctor has violated **background assumptions**, your ideas about the way life is and the way things ought to work. These assumptions, which lie at the root of everyday life, are so deeply embedded in our consciousness that we are seldom aware of them, and most of us fulfill them unquestioningly. Thus, your doctor does not offer you a haircut, even if he or she is good at cutting hair and you need one!

The founder of ethnomethodology, sociologist Harold Garfinkel, conducted some interesting exercises designed to uncover our background assumptions. Garfinkel (1967) asked his students to act as though they did not understand the basic rules of social life. Some tried to bargain with supermarket clerks; others would inch close to people and stare directly at them. They were met with surprise, bewilderment, even anger. In one exercise Garfinkel asked students to act as though they were boarders in their own homes. They addressed their parents as "Mr." and "Mrs.," asked permission to use the bathroom, sat stiffly, were courteous, and spoke only when spoken to. As you can imagine, the other family members didn't know what to make of this (Garfinkel 1967):

They vigorously sought to make the strange actions intelligible and to restore the situation to normal appearances. Reports (by the students) were filled with accounts of astonishment, bewilderment, shock, anxiety, embarrassment, and anger, and with charges by various family members that the student was mean, inconsiderate, selfish, nasty, or impolite. Family members demanded explanations: What's the matter? What's gotten into you? . . . Are you sick? . . . Are you out of your mind or are you just stupid?

In another exercise, Garfinkel asked students to take words and phrases literally. When a student asked his girlfriend what she meant when she said that she had a flat tire, she said:

What do you mean, "What do you mean?"? A flat tire is a flat tire. That is what I meant. Nothing special. What a crazy question!

Another conversation went like this:

ACQUAINTANCE: How are you?

STUDENT: How am I in regard to what? My health, my finances, my schoolwork, my peace of mind, my . . . ?

ACQUAINTANCE (red in the face): look! I was just trying to be polite. Frankly, I don't give a damn how you are.

Students who are asked to break background assumptions can be highly creative. The young children of one of my students were surprised one morning when they came down for breakfast to find a sheet spread across the living room floor. On it were dishes, silverware, burning candles—and bowls of ice cream. They, too, wondered what was going on—but they dug eagerly into the ice cream before their mother could change her mind.

ethnomethodology the study of how people use background assumptions to make sense out of life

background assumptions deeply embedded common understandings, or basic rules, concerning our view of the world and of how people ought to act



All of us have background assumptions, deeply ingrained expectations of how the world operates. They lay the groundwork for what we expect will happen in our interactions. How do you think the background assumptions of these two people differ?

This is a risky assignment to give students, however, for breaking some background assumptions can make people suspicious. When a colleague of mine gave this assignment, a couple of his students began to wash dollar bills in a laundromat. By the time they put the bills in the dryer, the police were there.

IN SUM

Ethnomethodologists explore *background assumptions*, the taken-for-granted ideas about the world that underlie our behavior. These basic rules of social life are an essential part of the social structure and are violated only with risk. Deeply embedded in our minds, they give us basic directions for living everyday life.

The Social Construction of Reality

Symbolic interactionists stress how our ideas help determine our reality. In what has become known as *the definition of the situation*, or the **Thomas theorem**, sociologists W. I. and Dorothy S. Thomas said “If people define situations as real, they are real in their consequences.” Consider the following incident:

On a visit to Morocco, in northern Africa, I decided to buy a watermelon. When I indicated to the street vendor that the knife he was going to use to cut the watermelon was dirty (encrusted with filth would be more apt), he was very obliging. He immediately bent down and began to swish the knife in a puddle on the street. I shuddered as I looked at the passing burros that were freely urinating and defecating as they went by. Quickly, I indicated by gesture that I preferred my melon uncut after all.

For that vendor, germs did not exist. For me, they did. And each of us acted according to our definition of the situation. My perception and behavior did not come from the fact that germs are real but *because I grew up in a society that teaches they are real*. Microbes, of course, *objectively* exist, and whether or not germs are part of our thought world makes no difference to whether we are infected by them. Our behavior, however, does not depend on the *objective* existence of something but, rather, on our *subjective interpretation*,

Thomas theorem William I. and Dorothy S. Thomas' classic formulation of the definition of the situation: “If people define situations as real, they are real in their consequences.”

on what sociologists call our *definition of reality*. In other words, it is not the reality of microbes that impresses itself on us, but society that impresses the reality of microbes on us.

Let's consider another example. Do you remember the identical twins, Oskar and Jack, who grew up so differently? As discussed on page 64, Jack was reared in Trinidad and learned to hate Hitler, while Oskar was reared in Germany and learned to love Hitler. Thus what Hitler meant to Oskar and Jack (and what he means to us) depends not on Hitler's acts, but, rather, on how we view his acts, that is, on our definition of the situation.

This is **the social construction of reality**. Our society, or the social groups to which we belong, have their particular views of life. From our groups (the *social* part of this process), we learn specific ways of looking at life—whether that be our view of Hitler (he's good, he's evil), germs (they exist, they don't exist), or *anything else in life*. In short, through our interaction with others, we *construct reality*; that is, we learn ways of interpreting our experiences in life.

Gynecological Examinations To better understand the social construction of reality, let's consider an extended example.

A gynecological nurse, Mae Biggs, and I did research on vaginal examinations. Reviewing about 14,000 cases, we looked at how the medical profession constructs social reality in order to define this examination as nonsexual (Henslin and Biggs 1971/2003). We found that the pelvic examination unfolds much as a stage play does. I will use "he" to refer to the physician because only male physicians participated in this study. Perhaps the results would be different with women gynecologists.

Scene 1 (the patient as person) In this scene, the doctor maintains eye contact with his patient, calls her by name, and discusses her problems in a professional manner. If he decides that a vaginal examination is necessary, he tells a nurse, "Pelvic in room 1." By this statement, he is announcing that a major change will occur in the next scene.

Scene 2 (from person to pelvic) This scene is the depersonalizing stage. In line with the doctor's announcement, the patient begins the transition from a "person" to a "pelvic." The doctor leaves the room, and a female nurse enters to help the patient make the transition. The nurse prepares the "props" for the coming examination and answers any questions the woman might have.

What occurs at this point is essential for the social construction of reality, for *the doctor's absence removes even the suggestion of sexuality*. To undress in front of him could suggest either a striptease or intimacy, thus undermining the reality so carefully being defined, that of nonsexuality.

The patient also wants to remove any hint of sexuality in the coming interaction, and during this scene she may express concern about what to do with her panties. Some mutter to the nurse, "I don't want him to see these." Most women solve the problem by either slipping their panties under their clothes or placing them in their purse.

Scene 3 (the person as pelvic) This scene opens when the doctor enters the room. Before him is a woman lying on a table, her feet in stirrups, her knees tightly together, and her body covered by a drape sheet. The doctor seats himself on a low stool before the woman, tells her, "Let your knees fall apart" (rather than the sexually loaded "Spread your legs"), and begins the examination.

The drape sheet is crucial in this process of desexualization, for it *dissociates the pelvic area from the person*: Bending forward and with the drape sheet above his head, the physician can see only the vagina, not the patient's face. Thus dissociated from the individual, the vagina is dramaturgically transformed into an object of analysis. If the doctor examines the patient's breasts, he also dissociates them from her person by examining them one at a time, with a towel covering the unexamined breast. Like the vagina, each breast becomes an isolated item dissociated from the person.

social construction of reality the use of background assumptions and life experiences to define what is real

In this scene, the patient cooperates in being an object, becoming for all practical purposes a pelvis to be examined. She withdraws eye contact from the doctor, usually from the nurse as well, is likely to stare at the wall or at the ceiling, and avoids initiating conversation.

Scene 4 (from pelvic to person) In this scene, the patient becomes “repersonalized.” The doctor has left the examining room; the patient dresses and fixes her hair and makeup. Her reemergence as a person is indicated by such statements to the nurse as, “My dress isn’t too wrinkled, is it?” indicating a need for reassurance that the metamorphosis from “pelvic” back to “person” has been completed satisfactorily.

Scene 5 (the patient as person) In this scene, the patient is once again treated as a person rather than as an object. The doctor makes eye contact with her and addresses her by name. She, too, makes eye contact with the doctor, and the usual middle-class interaction patterns are followed. She has been fully restored.

IN SUM

To an outsider to our culture, the custom of women going to a male stranger for a vaginal examination might seem bizarre. But not to us. We learn that pelvic examinations are nonsexual. To sustain this definition requires teamwork—patients, doctors, and nurses working together to *socially construct reality*.

It is not just pelvic examinations or our views of microbes that make up our definitions of reality. Rather, *our behavior depends on how we define reality*. Our definitions (or constructions) provide the basis for what we do and how we feel about life. To understand human behavior, then, we must know how people define reality.

The Need for Both Macrosociology and Microsociology



As noted earlier, both microsociology and macrosociology make vital contributions to our understanding of human behavior.

Our understanding of social life would be vastly incomplete without one or the other. The photo essay on the next two pages should help make clear why we need *both* perspectives.

To illustrate this point, let’s consider two groups of high school boys studied by sociologist William Chambliss (1973/2003). Both groups attended Hanibal High School. In one group were eight middle-class boys who came from “good” families and were perceived by the community as “going somewhere.” Chambliss calls this group the “Saints.” The other group consisted of six lower-class boys who were seen as headed down a dead-end road. Chambliss calls this group the “Roughnecks.”

Both groups skipped school, got drunk, and did a lot of fighting and vandalism. The Saints were actually somewhat more delinquent, for they were truant more often and engaged in more vandalism. Yet the Saints had a good reputation, while the Roughnecks were seen by teachers, the police, and the general community as no good and headed for trouble.

These reputations carried crucial consequences. Seven of the eight Saints went on to graduate from college. Three studied for advanced degrees: One finished law school and became active in state politics, one finished medical school, and one went on to earn a Ph.D. The four other college graduates entered managerial or executive training programs with large firms. After his parents divorced, one Saint failed to graduate from high school on time and had to repeat his senior year. Although this boy tried to go to college by attending night school, he never finished. He was unemployed the last time Chambliss saw him.

In contrast, only four of the Roughnecks finished even high school. Two of these boys did exceptionally well in sports and received athletic scholarships to college. They both graduated from college and became high school coaches. Of the two others who graduated from high school, one became a small-time gambler and the other disappeared “up north,” where he was last reported to be driving a truck. The two who did not complete high school were sent to state penitentiaries for separate murders.

To understand what happened to the Saints and the Roughnecks, we need to grasp *both* social structure and social interaction. Using *macrosociology*, we can place these boys within the larger framework of the U.S. social class system. This reveals how opportunities open or close to people depending on their social class and how people learn different goals as they grow up in vastly different groups. We can then use *microsociology* to follow their everyday lives. We can see how the Saints manipulated their “good” reputations to skip classes repeatedly and how their access to automobiles allowed them to protect those reputations by transferring their troublemaking to different communities. In contrast, the Roughnecks, who did not have cars, were highly visible. Their lawbreaking, which was limited to a small area, readily came to the attention of the community. Microsociology also reveals how their respective reputations opened doors of opportunity to the first group of boys while closing them to the other.

Thus we need both kinds of sociology, and both are stressed in the following chapters.